



The Investment Compliance Monitoring (ICM) Tool

A Presentation on District Experiences

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Background:

ESAFF – Uganda with support from GIZ is implementing the Responsible Governance of Investment in Land (RGIL) Project as part of the Responsible Land Policy in Uganda (RELAPU) Project.

The Project seeks to ensure that **“Agriculture Investments in Land are Productive, contribute to sustainable land management, and respects the rights and needs of the local population especially Vulnerable Groups and Women”**

Started operations in Gomba in 2023.

Methodology:

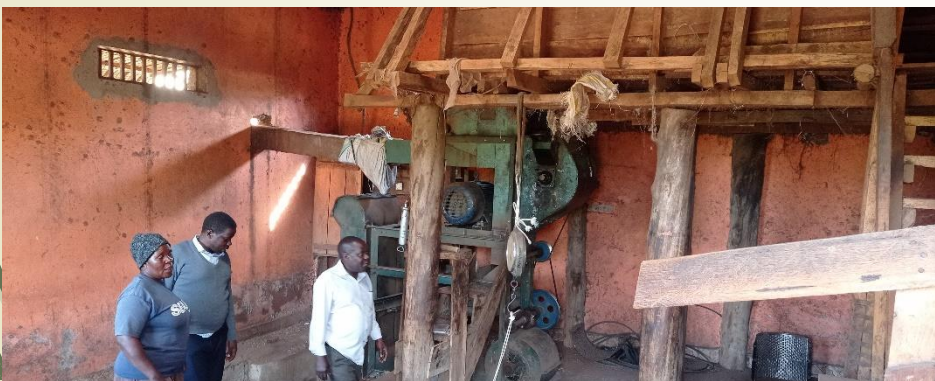
- 10 District Officials from Gomba DLG were selected from different departments and trained in the ICM Tool
- Deployed in the field to collect data from investors within the District
- 25 Investments were targeted in the first exercise
- ESAFF Staff members provided guidance, support, and handled logistics during the data collection process.

Components of the Tool:

The tool has 7 Sections including:

1. Contribution to food security and nutrition, promote safe and health agriculture and food systems
2. Contribute to sustainable and inclusive economic development and the eradication of poverty
3. Promote gender equality, women empowerment, youth engagement and support to the disabled.
4. Respect tenure of land, fisheries and forests and access to water
5. Conserve and sustainably manage natural resources, increase resilience and reduce disaster risks.
6. Respect for cultural heritage and traditional knowledge, and support diversity and innovation
7. Incorporate inclusive and transparent governance structures, processes, grievance mechanisms and assesses and addresses impacts and promotes accountability.

Percentage scores per section												
			Contribution to food security and nutrition	1. Contribute to sustainable and inclusive economic devp't & eradication of	Promote gender equality	Respect tenure of land, fisheries and forests and access to water	Conserve and sustainably manage natural resources	Respect for cultural heritage and traditional knowledge	Incorporate inclusive and transparent governance structures			
NO.	Investor	District	Section 1	Section 2	Section 3	Section 4	Section 5	Section 6	Section 7	Overall average score	Grading/Classification	Gender
1	Kiriri coffee Factory	Gomba	63%	88%	58%	63%	60%	80%	50%	67%	2	M
2	Zian Duomi Group Limited	Gomba	38%	63%	25%	59%	60%	100%	17%	53%	3	M
3	Central Buganda Diocese Kasaka Maize millers	Gomba	100%	100%	58%	89%	90%	60%	83%	86%	1	M
4	Byansi Factory Processing	Gomba	75%	50%	67%	78%	90%	80%	67%	72%	2	M
5	Processing	Gomba	63%	88%	42%	59%	40%	40%	33%	57%	3	M
6	Mpunge Nurseries and Mixed farm	Gomba	100%	100%	92%	93%	90%	100%	83%	95%	1	M
7	Jodat Farm	Gomba	75%	88%	83%	78%	50%	60%	67%	73%	2	F
8	Kidumuule Coffee Factory	Gomba	88%	63%	50%	70%	80%	60%	67%	70%	2	F
9	Mpindi Coffee Factory	Gomba	38%	38%	33%	33%	20%	20%	33%	32%	1	M
10	Kanoni Plastic works	Gomba	50%	100%	100%	96%	100%	60%	100%	86%	1	M
11	Kirungu irrigation	Gomba	100%	88%	83%	59%	80%	60%	67%	78%	2	F
12	Nsambwe poultry farm	Gomba	75%	100%	67%	81%	60%	40%	67%	74%	2	M
13	Green yields farm	Gomba	100%	100%	83%	78%	100%	100%	83%	93%	1	M
14	Egypt Uganda Joint Model Farm	Gomba	100%	100%	100%	100%	100%	80%	100%	98%	1	M
15	St Joan of Arc farm	Gomba	100%	100%	100%	100%	100%	100%	100%	100%	1	M
16	Kakima John birungi	Gomba	88%	100%	33%	78%	100%	0%	67%	73%	2	M
17	Kitandwe fred	Gomba	100%	75%	67%	59%	40%	40%	100%	68%	2	M
18	Kalera Phillip	Gomba	75%	100%	100%	96%	100%	100%	100%	95%	1	M
19	China Railway 3	Gomba	0%	75%	75%	74%	70%	0%	83%	54%	3	M
20	NEC Farm Katonga ltd	Gomba	88%	100%	75%	96%	60%	100%	100%	88%	1	M
21	Ssegawa Coffe Factory	Gomba	88%	50%	67%	85%	50%	100%	83%	73%	2	M
Total Performance per section			76%	84%	69%	77%	73%	66%	74%	75%		



Key Observations

Diverse Investment Portfolios: The study revealed a diverse investment portfolio among the surveyed investors, with significant engagements in crop production, processing, and animal rearing. Moreover, over half of the investors were involved in multiple investment types, showcasing a varied business approach.

- **High Compliance with Protocols:** The majority of investments exhibited notable compliance with investment protocols, suggesting a commitment to responsible and sustainable practices. A considerable percentage scored above 69%, and a significant portion ranked exceptionally well, emphasizing adherence to guidelines.
- **Room for Improvement in Cultural Respect:** The study identified an area for enhancement regarding cultural heritage and traditional knowledge. Investors showed a lower performance in this aspect, highlighting the need for focused efforts to respect and integrate cultural elements into investment practices.
- **Opportunities for Strategic Growth:** While most investments showcased compliance, the identified areas for improvement offer strategic opportunities. By addressing these gaps and fostering inclusivity and sustainability, the investment landscape in Gomba district can be further strengthened for balanced and enduring growth.

Benefits of Using the Tool:

- Identification and mapping of investments in the District
- Profile and document contribution of investments to growth and development
- Compliance to local laws and policies
- Identification of knowledge/information gaps
- Identification of challenges faced by investors
- Identification of revenue contributions
- Promotion of human rights and rights of workers
- Follow up of grievance handling mechanisms at work places

Challenges:

- Restricted access to some investment premises
- Fear to declare all details by some investors
- Poor transport infrastructure affecting connectivity
- Non existence/functional grievance redress committees or mechanisms in the communities
- Non existence/functional District Investment Committees

Recommendations:

Enhance Support for Cultural Integration: Encourage investors to incorporate cultural considerations into their business practices by collaborating with local communities and respecting traditional knowledge. Promote awareness programs to foster cultural understanding and mutual respect.

- **Provide Guidance for Low-Performing Investors:** Identify investors scoring below 70% on the Investment Compliance Monitoring Tool and offer them guidance through the District Investment Committee. Create tailored support programs to help these investors improve their compliance and adopt responsible investment practices.
- **Promote Knowledge Sharing and Best Practices:** Facilitate forums and workshops where compliant investors with high scores can share their best practices. This exchange of ideas can inspire others to improve their adherence to investment protocols and contribute to sustainable development.
- **Leverage Multiple Investment Types:** Encourage investors engaged in multiple investment types to share their experiences and insights. Highlight the benefits and challenges of diversified investments to motivate others to explore similar approaches, promoting a more resilient investment portfolio.
- **Sustain Data Quality Measures for Future Endeavors:** Emphasize the continued training and monitoring of data collection teams to maintain high standards of data quality. Regularly update and refine the ICM Tool, ensuring its effectiveness and relevance in capturing comprehensive and accurate investment data for future assessments.



**Thank You For
Listening !!!**